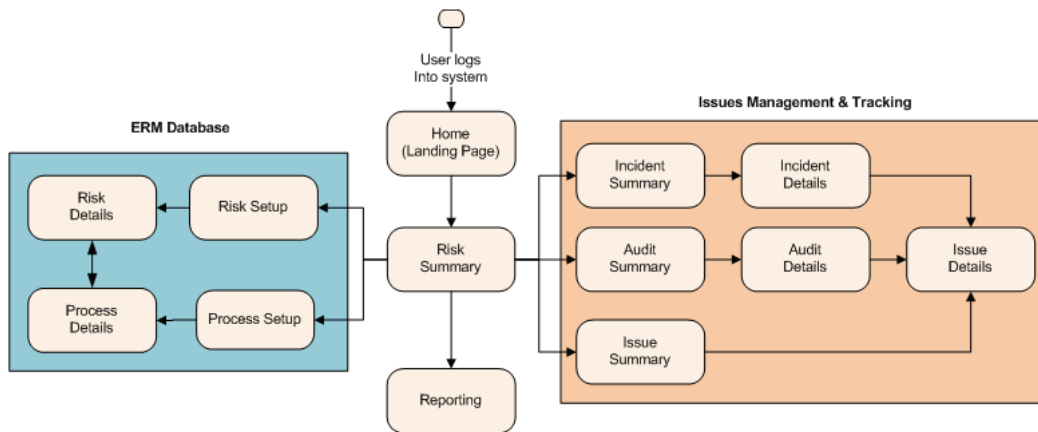




ComplianceManager - Risk Management Solution

“Enterprise Risk Management Solution for All Companies”

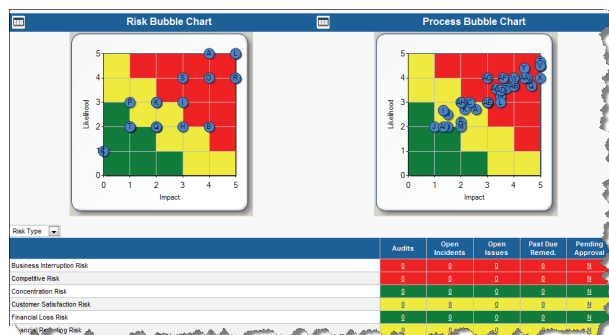
The Enterprise Risk Management (ERM) Solution manages several key activities including risk identification, risk classification, database maintenance, issues management & tracking, analysis and reporting.



ComplianceManager’s Risk Management Solution integrates these ad hoc activities and procedures into a single, secure, process workflow system with a dynamically linked relational database system. Deployment of this system will improve process workflow productivity, analysis and reporting capabilities while allowing for scalability to accommodate future ERM business requirements.

- ➔ Allow an organization to identify, analyze and track risk components and then assess their mitigating controls efficiently and rapidly
- ➔ Provide a central repository to store the critical risk components, including risk types, risk events/incidents, loss data, policies, procedures and supporting documents
- ➔ Automate the process of identifying, measuring and monitoring risks, and tracking remediation actions using intelligent compliance process workflow
- ➔ Integrate all risk data – risk and control self assessments, loss events and risk quantifiers – in a single solution.

ComplianceManager combines powerful document and process management with a monitoring and decision support system that enables organizations to analyze, manage and mitigate risk in a simple and efficient manner.



- ➔ **Risk Dashboard** – Executive visibility to key metrics and corporate wide compliance
- ➔ **Risk Identification** – Risks description, rating, process mapping, likelihood, relevant significant initiatives, mitigating controls and other user-defined attributes
- ➔ **Risk Event Setup** – Addition and selection of risks and user-defined attributes, including change history
- ➔ **Event Identification** – Addition, change and categorization of events with detailed descriptions and other user-defined attributes
- ➔ **Risk Quantification & Reporting** – Calculation & management reporting of risk magnitude based on predefined criteria

- ➔ **Remediation & Loss Management** – Measure, track and report risk exposures from global reporting to individual loss data

The ComplianceManager Difference

3-Day Data Migration: *"In 3 business days - we are up and running globally."* ComplianceManager uses patented technology to enable fast migration of existing data into our server while preserving your data's current format and context.

50% Audit Reduction: *"Regulatory audit time cut in half by implementing ComplianceManager."*

ComplianceManager provides a central repository for data and document retrieval, a clear readily auditable trail with date, time, action and person-stamped, and personalized user access rights to enable an efficient and controlled external audit process.

100% World Class Security: *"It's only one of the two applications we allow offsite hosting – the other vendor has to build an entire mirror hierarchy."*

ComplianceManager is hosted in a secured, SAS-70 certified environment with dedicated servers to your business. Electronic signatures capability based on robust authentication and authorization infrastructure ensures compliance with industry regulations.

\$0 IT Investment: *"...now THAT is a good ROI!"*

ComplianceManager provides an all-in Software-as-a-Service model – there is no addition cost for hosting, implementation, data migration, internal IT costs, and many hidden costs common to similar applications.

On Demand Modules: *"100% flexibility – a true on-demand model at my own pace for my evolving needs."*

ComplianceManager is built with complete flexibility to accommodate your organization needs – you can start with our SOX solution or an individual policy compliance program – each of our solutions can be fully integrated as your needs change.

1 Dedicated Project Manager: *"No more struggling with outsourced level-1 helpdesk.... My dedicated project manager is professional, knowledgeable and always available."* ComplianceManager assigns a dedicated project manager to each project – a professional compliance expert who understands your business and technical needs.

100's Management Reports: *"A myriad of standard management reports and a powerful query to create many more based on my needs."*

ComplianceManager comes standard with a list of

commonly used management reports and a powerful query builder which enables you to create 100's more. Graphical dashboards and flexible reports with drill-down capability provide statistics by any data element such as control status, gaps, deficiencies, audit history, retention times at the corporate level all the way down to an individual or a task.

50% More Affordable: *"We've looked at all the so-called 'Quadrant 1' applications"*

ComplianceManager is at least 50% more affordable than the rest of market." ComplianceManager is an on-demand solution catered to corporations of all sizes and budgets. Whether you are a Fortune 500 or a pre-IPO small cap, we can deploy a solution that meets your needs.

Minimal Training Required: *"Our system administrator was trained in 20 minutes while most users do not require any training time at all... many of whom speak English as a second language!"* ComplianceManager has an intuitive, Microsoft®-like user interface which requires minimal to no training – so your team can be productive at the get-go.

1 Centralized Automated Update: *"We only need to update our documents once – no more version control headaches."* ComplianceManager's intelligent workflow automatically routes your updates based on pre-defined approval process, through the end-to-end cycle of assessment, remediation, testing, narrative, flowcharts and certification.

0 Downtime for Upgrades: *"We came back on Monday morning - and voila! – we got the latest version with the updates at no cost and no downtime."* All updates and upgrades are performed regularly – and seamlessly. There is no need for online or in-person installation and disruption to your operations – and at zero cost.