

ComplianceManager Quality Management

“Best platform to integrate all our vendor management compliance needs – from SAS 70 to PCI – all at a glance at my finger tips.”



ComplianceManager provides a common framework and an integrated approach to manage all Quality Management requirements by internal and external service providers. It enables companies to manage cross-industry standards through risk management, document control, compliance training, continuous auditing, as well as recording and reporting of exception events and required remediation. The solution helps identify, test and remediate key compliance controls via self-assessments, intelligent workflow and executive dashboards that provide management with real-time transparency and enable immediate actions. Some of the representative uses include the followings:

- ➔ SAS-70
- ➔ Payment Card Industry Data Security Standards
- ➔ BITS
- ➔ ISO/ANSI Standards
- ➔ Agreed Upon Procedures
- ➔ Six Sigma and other Quality Control measures

ComplianceManager offers a comprehensive quality management solution for managing quality programs within an organization as well as for streamlining quality processes involving suppliers and customers. By improving operational efficiencies in quality systems, ComplianceManager enables companies to create a transparent environment for proactively identifying, tracking and resolving quality issues. Companies are replacing their point solutions and paper-based systems with ComplianceManager to automate their Quality Management processes, gain real-time visibility into their quality metrics, reduce the costs arising out of poor quality, and comply with industry standards.

ComplianceManager Quality Management Solution can be easily integrated into other Governance, Risk & Compliance solutions such as Controls Management, Risk Management, Policy Management and Regulations Management. It comes ready with content and best practices templates, access to training content from subject matter experts, and integration of business processes.

- ➔ Supports the BITS Shared Assessments standards for financial institutions and service providers- Standardized Information Gathering (SIG) and Agreed Upon Procedures (AUP).
- ➔ Allows an organization to comply with industry standards by assessing vendors and services against customized security controls efficiently and rapidly.
- ➔ Automates the distribution and collection of assessment questionnaires.
- ➔ Provides a review and Quality Management process enabling the organization to assess the vendor responses for validity and sufficiency before accepting.
- ➔ Provides automatic analysis of high risk vendors for financial, information security, and contingency planning controls, and contractual responsibilities.
- ➔ Automatically generates vendor remediation actions for planning and tracking deficiencies in controls.
- ➔ Calculates the risk associated with each vendor, and changes risk scores in real time as vendor corrective actions are completed.
- ➔ Provides a roll-up of the risk inherited from each service provider throughout the organization.

ComplianceManager makes the process of assessing and managing risk related to Quality controls simple and straightforward.

The ComplianceManager Difference

3-Day Data Migration: *“In 3 business days - we are up and running around the global.”* ComplianceManager uses patented technology to enable fast migration of existing data into our server while preserving your data’s current format and context.

50% Audit Reduction: *“Regulatory audit time cut in half by implementing ComplianceManager.”*

ComplianceManager provides a central repository for data and document retrieval, a clear readily auditable trail with date-, time-, action- and user-stamped, and personalized access rights to enable an efficient and controlled external audit process.

100% World Class Security: *“It’s only one of the two applications we allow offsite hosting – the other vendor has to build an entire mirror hierarchy.”*

ComplianceManager is hosted in a secured, SAS-70 certified environment with dedicated servers to your business. Electronic signatures capability based on robust authentication and authorization infrastructure ensures compliance with industry regulations.

\$0 IT Investment: *“...now THAT is a good ROI!”*

ComplianceManager provides an all-in Software-as-a-Service model – there is no addition cost for hosting, implementation, data migration, internal IT costs, and many hidden costs common to similar applications.

On Demand Modules: *“100% flexibility – a true on-demand model at my own pace for my evolving needs.”*

ComplianceManager is built with complete flexibility to accommodate your organization needs – you can start with our SOX solution or an individual policy compliance program – each of our solutions can be fully integrated as your needs change.

1 Dedicated Project Manager: *“No more struggling with outsourced level-1 helpdesk... My dedicated project manager is professional, knowledgeable and always available.”* ComplianceManager assigns a dedicated project manager to each project – a professional compliance expert who understands your business and technical needs.

100’s Management Reports: *“A myriad of standard management reports and a powerful query to create many more based on my needs.”*

ComplianceManager comes standard with a list of commonly used management reports and a powerful query builder which enables you to create 100’s more. Graphical dashboards and flexible reports with drill-down capability provide statistics by any data element such as control status, gaps, deficiencies, audit history, retention times at the corporate level all the way down to an individual or a task.

50% More Affordable: *“We’ve looked at all the so-called ‘Quadrant 1’ applications*

ComplianceManager is at least 50% more affordable than the rest of market.” ComplianceManager is an on-demand solution catered to corporations of all sizes and budgets. Whether you are a Fortune 500 or a pre-IPO small cap, we can deploy a solution that meets your needs.

Minimal Training Required: *“Our system administrator was trained in 20 minutes while most users do not require any training time at all... many of whom speak English as a second language!”* ComplianceManager has an intuitive, Microsoft®-like user interface which requires minimal to no training – so your team can be productive at the get-go.

1 Centralized Automated Update: *“We only need to update our documents once – no more version control headaches.”* ComplianceManager’s intelligent workflow automatically routes your updates based on pre-defined approval process, through the end-to-end cycle of assessment, remediation, testing, narrative, flowcharts and certification.

0 Downtime for Upgrades: *“We came back on Monday morning - and voila! – we got the latest version with the updates at no cost and no downtime.”* All updates and upgrades are performed regularly – and seamlessly. There is no need for online or in-person installation and disruption to your operations – and at zero cost.