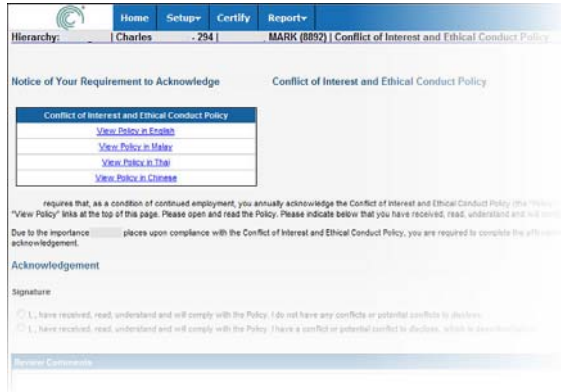




ComplianceManager Policy Management

“Integrated Compliance for HR, Legal, Finance and Beyond”

ComplianceManager delivers a flexible solution to automate, standardize and integrate multiple manual governance programs to enforce awareness of key policies, display clear visibility of certification, and demonstrate the rigorous processes and auditable evidences that regulators demands.



The solution provides a real-time oversight from organization wide to employee level. The solution supports the end-to-end process of policy creation, documentation, completion, distribution, analysis and report. It provides powerful tools for performance monitoring and decision support such as management dashboards and task lists.

The system streamlines various Corporate Governance oversight programs, policies and procedure management, survey and certification processes, training mandates and facilitates compliance with a multitude of regulations.

Representative usage includes:

➔ Data Privacy Policy	➔ Employee Confidentiality Agreement
➔ HR Safe Harbor Policy	➔ Travel & Entertainment Policy
➔ Corporate Procurement Policy	➔ Financial Management Certification
➔ Company Ethics Policy	➔ Employee Policy Manual

ComplianceManager Policy Management solution can be easily integrated into other Governance, Risk & Compliance solutions such as Internal Controls, Risk Management, Quality Assurance and Regulatory Compliance. It comes ready with content and best practices templates, access to training content from subject matter experts, and integration of business processes.

Specifically, ComplianceManager provides

- ▶ User-defined framework to create and manage corporate policies and procedures
- ▶ Secure, personalized, real-time web-based task lists and management dashboards
- ▶ Automated, repeatable mechanism to identify, track and remediate issues on a timely basis
- ▶ Auditable evidence by tasks, individuals and actions, with time-stamps
- ▶ Powerful tools for monitoring and documenting compliance through periodic audits, surveys and self-assessments
- ▶ Support for policy documentation, change management, communication and awareness programs and training management
- ▶ Continual audit of their internal controls and communication processes

Document	First Quarter	Due Date & Fiscal Year	Total	Not Started	In Progress	Completed	Paid
Conflict of Interest and Ethical Conduct Policy	First Quarter	06-29-2008	19407	19407			
Year	- 271757	06-29-2008	888	888			
Branch 5 Develover - 254		06-29-2008	222	222			
Charles - 294		06-29-2008	1912	1912			
8888		06-29-2008	1	1			
888888		06-29-2008	1	1			
88888		06-29-2008	1	1			
8888888		06-29-2008	1	1			
88888888		06-29-2008	1	1			
888888888		06-29-2008	1	1			

The ComplianceManager Difference

3-Day Data Migration: *"In 3 business days - we are up and running around the globe."* ComplianceManager uses patented technology to enable fast migration of existing data into our server while preserving your data's current format and context.

50% Audit Reduction: *"Regulatory audit time cut in half by implementing ComplianceManager."*

ComplianceManager provides a central repository for data and document retrieval, a clear readily auditable trail with date, time, action and person-stamped, and personalized user access rights to enable an efficient and controlled external audit process.

100% World Class Security: *"It's only one of the two applications we allow offsite hosting – the other vendor has to build an entire mirror hierarchy."*

ComplianceManager is hosted in a secured, SAS-70 certified environment with dedicated servers to your business. Electronic signatures capability based on robust authentication and authorization infrastructure ensures compliance with industry regulations.

\$0 IT Investment: *"...now THAT is a good ROI!"*

ComplianceManager provides an all-in Software-as-a-Service model – there is no addition cost for hosting, implementation, data migration, internal IT costs, and many hidden costs common to similar applications.

On Demand Modules: *"100% flexibility – a true on-demand model at my own pace for my evolving needs."*

ComplianceManager is built with complete flexibility to accommodate your organization needs – you can start with our SOX solution or an individual policy compliance program – each of our solutions can be fully integrated as your needs change.

1 Dedicated Project Manager: *"No more struggling with outsourced level-1 helpdesk.... My dedicated project manager is professional, knowledgeable and always available."* ComplianceManager assigns a dedicated project manager to each project – a professional compliance expert who understands your business and technical needs.

100's Management Reports: *"A myriad of standard management reports and a powerful query to create many more based on my needs."*

ComplianceManager comes standard with a list of

commonly used management reports and a powerful query builder which enables you to create 100's more. Graphical dashboards and flexible reports with drill-down capability provide statistics by any data element such as control status, gaps, deficiencies, audit history, retention times at the corporate level all the way down to an individual or a task.

50% More Affordable: *"We've looked at all the so-called 'Quadrant 1' applications"*

ComplianceManager is at least 50% more affordable than the rest of market." ComplianceManager is an on-demand solution catered to corporations of all sizes and budgets. Whether you are a Fortune 500 or a pre-IPO small cap, we can deploy a solution that meets your needs.

Minimal Training Required: *"Our system administrator was trained in 20 minutes while most users do not require any training time at all... many of whom speak English as a second language!"* ComplianceManager has an intuitive, Microsoft®-like user interface which requires minimal to no training – so your team can be productive at the get-go.

1 Centralized Automated Update: *"We only need to update our documents once – no more version control headaches."* ComplianceManager's intelligent workflow automatically routes your updates based on pre-defined approval process, through the end-to-end cycle of assessment, remediation, testing, narrative, flowcharts and certification.

0 Downtime for Upgrades: *"We came back on Monday morning - and voila! – we got the latest version with the updates at no cost and no downtime."* All updates and upgrades are performed regularly – and seamlessly. There is no need for online or in-person installation and disruption to your operations – and at zero cost.