

## ComplianceManager Compliance Management

*“Smooth deployment with the best security... 1 of the only 2 vendors we allow offsite hosting – the other one has to build an entire mirroring ERM hierarchy.”*



**ComplianceManager** provides a common framework and an integrated approach to manage all compliance requirements faced by an organization. It enables companies to manage cross-industry mandates and regulations through risk management, document control, compliance training, continuous auditing, as well as recording and reporting of exception events and required remediation. The solution helps identify, test and remediate key compliance controls via self-assessments, intelligent workflow and executive dashboards that provide management with real-time transparency and enable immediate actions.

Some of the representative uses include the followings:

General	Financial Services	Healthcare	Other Specific
→SOX	→Basel II	→ HIPAA	→FISMA
→Turnbull Guidance	→BSA/ Patriot Act	→ FDA Regulations	→FERC/NERC
→Bill 198	→GLBA	→ PHI	→FAA
→J-SOX	→OFAC		→Dept. of Defense
→C-SOX	→PCI		
→OSHA	→OTS/OTC Regulations		
→EU Data Protection	→FDIC Regulations		
→Safe Harbor Policy	→FFIEC Guidelines		
→EEO	→SEC Guidelines		
→FCPA	→NASD Regulations		

**ComplianceManager Compliance Management Solution** can be easily integrated into other Governance, Risk & Compliance solutions such as *Audit Management, Risk Management, Policy Management and Quality Management*. It comes ready with content and best practices templates, access to training content from subject matter experts, and integration of business processes.

## The ComplianceManager Difference

**3-Day Data Migration:** *"In 3 business days - we are up and running around the global."* **ComplianceManager** uses patented technology to enable fast migration of existing data into our server while preserving your data's current format and context.

**50% Audit Reduction:** *"Regulatory audit time cut in half by implementing ComplianceManager."*

**ComplianceManager** provides a central repository for data and document retrieval, a clear readily auditable trail with date, time, action and person-stamped, and personalized user access rights to enable an efficient and controlled external audit process.

**100% World Class Security:** *"It's only one of the two applications we allow offsite hosting – the other vendor has to build an entire mirror hierarchy."*

**ComplianceManager** is hosted in a secured, SAS-70 certified environment with dedicated servers to your business. Electronic signatures capability based on robust authentication and authorization infrastructure ensures compliance with industry regulations.

**\$0 IT Investment:** *"...now THAT is a good ROI!"*

**ComplianceManager** provides an all-in Software-as-a-Service model – there is no addition cost for hosting, implementation, data migration, internal IT costs, and many hidden costs common to similar applications.

**On Demand Modules:** *"100% flexibility – a true on-demand model at my own pace for my evolving needs."*

**ComplianceManager** is built with complete flexibility to accommodate your organization needs – you can start with our SOX solution or an individual policy compliance program – each of our solutions can be fully integrated as your needs change.

**1 Dedicated Project Manager:** *"No more struggling with outsourced level-1 helpdesk.... My dedicated project manager is professional, knowledgeable and always available."* **ComplianceManager** assigns a dedicated project manager to each project – a professional compliance expert who understands your business and technical needs.

**100's Management Reports:** *"A myriad of standard management reports and a powerful query to create many more based on my needs."*

**ComplianceManager** comes standard with a list of

commonly used management reports and a powerful query builder which enables you to create 100's more. Graphical dashboards and flexible reports with drill-down capability provide statistics by any data element such as control status, gaps, deficiencies, audit history, retention times at the corporate level all the way down to an individual or a task.

**50% More Affordable:** *"We've looked at all the so-called 'Quadrant 1' applications ....*

**ComplianceManager** is at least 50% more affordable than the rest of market." **ComplianceManager** is an on-demand solution catered to corporations of all sizes and budgets. Whether you are a Fortune 500 or a pre-IPO small cap, we can deploy a solution that meets your needs.

**Minimal Training Required:** *"Our system administrator was trained in 20 minutes while most users do not require any training time at all... many of whom speak English as a second language!"* **ComplianceManager** has an intuitive, Microsoft®-like user interface which requires minimal to no training – so your team can be productive at the get-go.

**1 Centralized Automated Update:** *"We only need to update our documents once – no more version control headaches."* **ComplianceManager**'s intelligent workflow automatically routes your updates based on pre-defined approval process, through the end-to-end cycle of assessment, remediation, testing, narrative, flowcharts and certification.

**0 Downtime for Upgrades:** *"We came back on Monday morning - and voila! – we got the latest version with the updates at no cost and no downtime."* All updates and upgrades are performed regularly – and seamlessly. There is no need for online or in-person installation and disruption to your operations – and at zero cost.