

ComplianceManager for Retail Industry

“Free up hundreds of hours on repetitive, administrative chores - so we can focus on what’s really important to our company.”

ComplianceManager provides a single, continuous compliance solution for Retailers to ensure compliance with PCI DSS, and with other compliance regulations.

ComplianceManager facilitates compliance in a cost-effective manner via a self-assessment process, regardless of the distributed nature of retail operations.

ComplianceManager increases the effectiveness and timeliness of PCI compliance efforts, and significantly lowers the cost of compliance for retailers.



ComplianceManager addresses PCI DSS: The Payment Card Industry Data Security Standard (PCI DSS), a standard jointly developed by MasterCard and VISA, is a critical compliance issue for all retailers. The PCI DSS program describes 12 high level requirements, supported by approximately 212 specific requirements. The goal of the PCI DSS is to preserve the integrity of the payment card industry by ensuring consistent and rigorous security mechanisms throughout the system. PCI DSS compliance is required for all sizes of merchants. The largest merchants must complete an annual self-assessment questionnaire, have an annual on-site PCI data security assessment performed, and complete a quarterly network scan.

ComplianceManager addresses Data Privacy: Retailers are subject to federal law such as the Gramm-Leech Bliley Act (GLBA), the provisions of state customer data privacy laws such as California SB1386, and some 20 similar regulations enacted by other states, which require public notification in the event of a security breach affecting customer data.

ComplianceManager addresses SOX: Publicly listed retailers must also comply with Sarbanes-Oxley (SOX). Sarbanes-Oxley (SOX) regulates corporate financial reporting and provides penalties for their abuse. SOX defines the type of data that must be recorded and retention policies. SOX also specifies the kinds of controls that must be in place, the testing requirements for key controls, and the necessary remediation and certification by management.

ComplianceManager addresses HIPAA: Retailers can be subject to the Health Insurance Portability and Accountability Act (HIPAA) Security and Privacy rules if consumer’s protected health information is processed. These regulations are very specific regarding requirements for IT security. The HIPAA Security rule includes 74 specific requirements, spanning Administrative, Physical, and Technical Safeguards.

Specifically, **ComplianceManager** helps retail companies to comply with a myriad of regulations and operational needs including the followings:

➔ PCI DSS	➔ Internal Audit
➔ Data Privacy	➔ Internal Quality Management
➔ SOX	➔ Vendor Quality Management
➔ HIPAA	➔ Internal Policies & Procedures
➔ Operational Risk Management	➔ Business Continuity Planning

ComplianceManager offers industry's most advanced and comprehensive suite of compliance management solutions to automate such initiatives. **ComplianceManager** solutions helps retailers to maintain high quality assurance standards of delivered goods, reduce costs associated with poor quality, and drive improved operational performance.

ComplianceManager includes on-demand modules which can be easily integrated into an entity-wide Governance, Risk & Compliance framework such as Internal Controls, Risk Management, Policy Management, Quality Assurance and Regulatory Compliance. It comes ready with content and best practices templates, access to training content from subject matter experts, and integration of business processes.

The ComplianceManager Difference

3-Day Data Migration: *“In 3 business days - we are up and running around the global.”* ComplianceManager uses patented technology to enable fast migration of existing data into our server while preserving your data’s current format and context.

50% Audit Reduction: *“Regulatory audit time cut in half by implementing ComplianceManager.”*

ComplianceManager provides a central repository for data and document retrieval, a clear readily auditable trail with date, time, action and person-stamped, and personalized user access rights to enable an efficient and controlled external audit process.

100% World Class Security: *“It’s only one of the two applications we allow offsite hosting – the other vendor has to build an entire mirror hierarchy.”*

ComplianceManager is hosted in a secured, SAS-70 certified environment with dedicated servers to your business. Electronic signatures capability based on robust authentication and authorization infrastructure ensures compliance with industry regulations.

\$0 IT Investment: *“...now THAT is a good ROI!”*

ComplianceManager provides an all-in Software-as-a-Service model – there is no addition cost for hosting, implementation, data migration, internal IT costs, and many hidden costs common to similar applications.

On Demand Modules: *“100% flexibility – a true on-demand model at my own pace for my evolving needs.”*

ComplianceManager is built with complete flexibility to accommodate your organization needs – you can start with our SOX solution or an individual policy compliance program – each of our solutions can be fully integrated as your needs change.

1 Dedicated Project Manager: *“No more struggling with outsourced level-1 helpdesk.... My dedicated project manager is professional, knowledgeable and always available.”* ComplianceManager assigns a dedicated project manager to each project – a professional compliance expert who understands your business and technical needs.

100’s Management Reports: *“A myriad of standard management reports and a powerful query to create many more based on my needs.”*

ComplianceManager comes standard with a list of commonly used management reports and a powerful

query builder which enables you to create 100’s more. Graphical dashboards and flexible reports with drill-down capability provide statistics by any data element such as control status, gaps, deficiencies, audit history, retention times at the corporate level all the way down to an individual or a task.

50% More Affordable: *“We’ve looked at all the so-called ‘Quadrant 1’ applications*

ComplianceManager is at least 50% more affordable than the rest of market.” ComplianceManager is an on-demand solution catered to corporations of all sizes and budgets. Whether you are a Fortune 500 or a pre-IPO small cap, we can deploy a solution that meets your needs.

Minimal Training Required: *“Our system administrator was trained in 20 minutes while most users do not require any training time at all... many of whom speak English as a second language!”* ComplianceManager has an intuitive, Microsoft®-like user interface which requires minimal to no training – so your team can be productive at the get-go.

1 Centralized Automated Update: *“We only need to update our documents once – no more version control headaches.”* ComplianceManager’s intelligent workflow automatically routes your updates based on pre-defined approval process, through the end-to-end cycle of assessment, remediation, testing, narrative, flowcharts and certification.

0 Downtime for Upgrades: *“We came back on Monday morning - and voila! – we got the latest version with the updates at no cost and no downtime.”* All updates and upgrades are performed regularly – and seamlessly. There is no need for online or in-person installation and disruption to your operations – and at zero cost.