

ComplianceManager for Energy Industry

“Clear leader of the industry. We’ve gone through months of RFP’s and interviewed all Quad 1 vendors - you can save the trouble.”

ComplianceManager can greatly benefit utilities and energy organizations struggling to adhere to the rules and regulations by the two US regulatory bodies – Federal Energy regulatory Commission (FERC) or North American Electric Reliability Council (NERC) rules. These standards are instigated to ensure a level of reliability of information and operations, and a minimum baseline of security controls. Other regulatory requirements may include: state and regional public service commissions, and Sarbanes-Oxley Act (SOX).



ComplianceManager offers a single compliance and risk management solution, increases the effectiveness and lowers the cost of compliance for energy industry organizations. The solution helps to safeguard the organization and its customers against operational risks through vulnerability assessments, threat response strategies, emergency management planning, employee background checks, physical and communications fortifications.

ComplianceManager provides an integrated solution for implementing compliance initiatives through document control, compliance training, ongoing auditing, as well as recording and reporting of FERC-related violations or process nonconformance and the necessary remediation. The solution enables energy and utilities organizations to manage unexpected events and reduce the loss impact via a systematic, documented and proven methodology.

Specifically, ComplianceManager helps energy industry organizations to comply with a myriad of regulations and operational needs including the followings:

➔ FERC	➔ Internal Audit
➔ NERC	➔ Internal Quality Management
➔ State Public Service Commissions	➔ Vendor Quality Management
➔ SOX	➔ Internal Policies & Procedures
➔ Operational Risk Management	➔ Business Continuity Planning

ComplianceManager offers industry's most advanced and comprehensive suite of quality management solutions to automate such initiatives. ComplianceManager solutions helps manufacturers to maintain high quality assurance standards of delivered goods, reduce costs associated with poor quality, and drive improved operational performance.

In addition, ComplianceManager solutions for corporate governance, risk management and regulatory compliance enables energy organizations to make the shift from isolated compliance initiatives and departmental silos of risk-related information to integrated enterprise-wide governance, risk and compliance strategy.

ComplianceManager includes on-demand modules which can be easily integrated into an entity-wide Governance, Risk & Compliance framework such as Internal Controls, Risk Management, Policy Management, Quality Assurance and Regulatory Compliance. It comes ready with content and best practices templates, access to training content from subject matter experts, and integration of business processes.

The ComplianceManager Difference

3-Day Data Migration: *“In 3 business days - we are up and running around the global.”* ComplianceManager uses patented technology to enable fast migration of existing data into our server while preserving your data’s current format and context.

50% Audit Reduction: *“Regulatory audit time cut in half by implementing ComplianceManager.”*

ComplianceManager provides a central repository for data and document retrieval, a clear readily auditable trail with date, time, action and person-stamped, and personalized user access rights to enable an efficient and controlled external audit process.

100% World Class Security: *“It’s only one of the two applications we allow offsite hosting – the other vendor has to build an entire mirror hierarchy.”*

ComplianceManager is hosted in a secured, SAS-70 certified environment with dedicated servers to your business. Electronic signatures capability based on robust authentication and authorization infrastructure ensures compliance with industry regulations.

\$0 IT Investment: *“...now THAT is a good ROI!”*

ComplianceManager provides an all-in Software-as-a-Service model – there is no addition cost for hosting, implementation, data migration, internal IT costs, and many hidden costs common to similar applications.

On Demand Modules: *“100% flexibility – a true on-demand model at my own pace for my evolving needs.”*

ComplianceManager is built with complete flexibility to accommodate your organization needs – you can start with our SOX solution or an individual policy compliance program – each of our solutions can be fully integrated as your needs change.

1 Dedicated Project Manager: *“No more struggling with outsourced level-1 helpdesk.... My dedicated project manager is professional, knowledgeable and always available.”* ComplianceManager assigns a dedicated project manager to each project – a professional compliance expert who understands your business and technical needs.

100’s Management Reports: *“A myriad of standard management reports and a powerful query to create many more based on my needs.”*

ComplianceManager comes standard with a list of commonly used management reports and a powerful

query builder which enables you to create 100’s more. Graphical dashboards and flexible reports with drill-down capability provide statistics by any data element such as control status, gaps, deficiencies, audit history, retention times at the corporate level all the way down to an individual or a task.

50% More Affordable: *“We’ve looked at all the so-called ‘Quadrant 1’ applications*

ComplianceManager is at least 50% more affordable than the rest of market.” ComplianceManager is an on-demand solution catered to corporations of all sizes and budgets. Whether you are a Fortune 500 or a pre-IPO small cap, we can deploy a solution that meets your needs.

Minimal Training Required: *“Our system administrator was trained in 20 minutes while most users do not require any training time at all... many of whom speak English as a second language!”* ComplianceManager has an intuitive, Microsoft®-like user interface which requires minimal to no training – so your team can be productive at the get-go.

1 Centralized Automated Update: *“We only need to update our documents once – no more version control headaches.”* ComplianceManager’s intelligent workflow automatically routes your updates based on pre-defined approval process, through the end-to-end cycle of assessment, remediation, testing, narrative, flowcharts and certification.

0 Downtime for Upgrades: *“We came back on Monday morning - and voila! – we got the latest version with the updates at no cost and no downtime.”* All updates and upgrades are performed regularly – and seamlessly. There is no need for online or in-person installation and disruption to your operations – and at zero cost.